

Registration No :

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Total Number of Pages : 02

MBA
18MBA202

2nd Semester Regular Examination 2018-19
INDIAN FINANCIAL SYSTEMS AND SERVICES

BRANCH : MBA

Max Marks : 100

Time : 3 Hours

Q.CODE : F237

Answer Question No.1 (Part-1) which is compulsory, any EIGHT from Part-II and any TWO from Part-III.

The figures in the right hand margin indicate marks.

Part- I

Q1 Only Short Answer Type Questions (Answer All-10)

(2 x 10)

- Give examples of two financial assets.
- What do you mean by income fund?
- What is call money market?
- What do you mean by Venture Capital?
- What do you mean by Odd Lot?
- Distinguish between development bank and merchant bank.
- Give the full form of GDR, BOLT, SEBI and IICRA.
- What is the share index of a) Bombay Stock Exchange b) National Stock Exchange?
- Define Commercial Bill Market.
- What are the objectives of Credit Rating?

Part- II

Q2 Only Focused-Short Answer Type Questions- (Answer Any Eight out of Twelve)

(6 x 8)

- State the origin of commercial paper in India.
- State the need of Investors Protection.
- What do you mean by listing of securities?
- Discuss the functions of STCI.
- What do you mean by Sale and Lease back?
- What do you mean by Hire Purchasing?
- What are the sources of consumer finance?
- What is SEBI Guidelines for IPO?
- What is Social Cost Benefit Analysis?
- What is Operating Lease?
- What is meant by Deep discount Bonds?
- What is Project counseling?

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Part-III**Only Long Answer Type Questions (Answer Any Two out of Four)**

- Q3** Discuss the different types of Financial Markets? Explain the roles and functions of financial markets in India. (16)
- Q4** Briefly describe the role and functions of Stock Exchanges in India (16)
- Q5** Discuss the origin, development and functions of Call Money Market in India. (16)
- Q6** Explain the functions and services of Merchant Bankers in India. (16)

Registration No:

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Total Number of Pages: 01

MBA
15MNG306B

2nd Semester Regular Examination 2017-18
Financial Markets and Services (FMS)

BRANCH: MBA

Time: 3 Hours

Max Marks: 100

Q.CODE: B690

Answer Question No.1 and 2 which are compulsory and any four from the rest.
The figures in the right hand margin indicate marks.

Q1 Answer the following questions briefly. (2x10)

- a) What is Venture capital?
- b) Mention two features of Hire Purchase.
- c) What do you mean by GDR?
- d) Name two money market intermediaries.
- e) Why Certificate of deposits is issued by banks and financial institutions?
- f) In which forms investors earn return from their equity investment?
- g) Name two fund based financial services?
- h) Mention two features of growth fund?
- i) What do you mean by "Adhoc".
- j) What do you mean by S & P CNX Nifty?

Q2 Fill in the gaps with appropriate answer: (2 x 10)

- a) A person appointed by Stock broker to assist him in security trading is called-----.
- b) IICRA is a ----- institution.
- c) Insider trading is a ----- practice in the stock market.
- d) Registration of a Stock Broker is -----.
- e) When the number of shares is less than the market lot, this is called ----- (irregular lot, odd lot)
- f) The market for extremely short period loan is -----.
- g) Project Counseling is a function of-----.
- h) Discount and Finance House of India(DFHI) is a ----- institution.
- i) Credit Capital Venture Fund (India) Limited is a Venture Capital Company under ----- -- sector.
- j) ----- is a process of registering securities of a company for trading on a recognized stock exchange.

Q3 Explain different types of Leasing. What are the problems of Leasing in India? (15)

Q4 a) Explain the services of Merchant Bankers in India. (7.5)
b) Enumerate the challenges faced by the Merchant bankers in India in the present context. (7.5)

Q5 Critically analyse the role of Stock Exchange in the Indian Capital market along with its limitations? (15)

Q6 a) Explain the origin and development of Credit rating agencies in India. (5)
b) Explain the methodology followed by CRISIL in rating credit instruments. (10)

Q7 What are the characteristics of 'Commercial Paper'? Describe the development of Commercial Paper market in India. (15)

Q8 Write short answer on any TWO: (7.5x2)

- a) Insider Trading
- b) Deep Discount Bonds
- c) Call Money market

Registration no:

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Total Number of Pages: 2

MBA
15MNG306B

2nd SEMESTER REGULAR EXAMINATION, 2016-17
FINANCIAL MARKETS AND SERVICES

Branch: MBA

Time: 3 Hours

Max Marks: 100

Q.CODE:Y712

Answer Question No.1 and 2 which is compulsory and any four from the rest.
The figures in the right hand margin indicate marks.

Q1

Answer the following questions:

(2 x 10)

- a) An efficient financial system through its constituents helps in----- along with----- thereby ensuring higher economic growth.
- b) The Transaction in primary market exists between----- while in secondary market, transactions exist between-----.
- c) Repo market helps in----- through----- in debt instruments.
- d) The objective of BOLT system is increasing----- and improve-----.
- e) Stock exchanges facilitate trade by----- against-----.
- f) The trading system under NEAT operates on a----- and ----- basis.
- g) Incase of open ended funds, investors may----- at any point of time where price is determined by-----.
- h) ----- and----- appoints an AMC to manage the affairs of the fund.
- i) Rating methodology involves an analysis of-----, issuer's business and -----.
- j) Venture capital broadly implies an investment of----- in-----.

Q2

Answer the following questions:

2x10

- a) Who are the players in the unorganized sector of the financial system?
- b) Which are the leading Money market institutions?
- c) What do the circuit breakers or price bands bring about?
- d) What is Margin trading?
- e) What do you mean by "Vetting of offer document or prospectus"?
- f) Why listing is done?
- g) What are the special features of an open-ended fund?
- h) What are the objectives of credit rating?

- i) State the qualities required of a Merchant banker.
- j) What are the different methods of venture capital financing?

Q3	What functions does Money market perform? Discuss briefly the various types of instruments that are dealt in Money market.	15
Q4	"Financial markets and Financial institutions play an important role in Financial system". Do you agree? Justify your answer.	15
Q5	What do you understand by New issue market? Explain the different methods of marketing corporate securities in the New issue market. Explain the regulations governing primary capital market in India.	15
Q6	Discuss in detail how trading on stock exchanges in India takes place? Critically examine the role of stock exchanges in the financial systems of a country.	15
Q7	Closed end funds generally invest in securities and financial instruments that are relatively illiquid. Where as most Mutual funds invest in widely traded stocks and bonds. Explain the difference between closed-end and open-end funds and why this liquidity distinction matters?	15
Q8	Write short notes on:	7.5+7.5=15
	a) Criteria for analysing venture capital proposals	
	b) Regulatory provisions for venture capital funds in India	

Registration No. :

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Total number of printed pages – 3

MBA
MBA 406 B

Second
~~Fourth~~

Semester Regular Examination – 2014

FINANCIAL INSTITUTES AND FINANCIAL MARKETS

BRANCH : MBA

QUESTION CODE : F 448

Full Marks – 70

Time : 3 Hours

Answer Question No. 1 & 2 which are compulsory and any **four** from the rest.

The figures in the right-hand margin indicate marks.

1. Answer the following questions : 2×10
- (a) What are the different types of Financial Market ?
 - (b) What are the objectives of Money Market ?
 - (c) What role does Capital Market play ?
 - (d) What are the characteristics on which effective integration of financial markets depend ?
 - (e) Which type of financial activities the Investment companies are involved in?
 - (f) For What reasons the Stock Market Index is considered to be a convenient and effective product ?
 - (g) What are the benefits of settling trade through CCIL ?
 - (h) Why Certificate of Deposits is issued ?
 - (i) Distinguish between unsponsored ADRs and sponsored ADRs.
 - (j) How Banking institutions differ from other financial institutions ?

FMS-4-6

P.T.O.

2. Apex Crop. Is a U.S based MNC that has been in international business for the last several years. It has been conducting business with all the major countries of the world. One of the countries has allowed for its currency value to be market determined. The spot rate of currency is \$.85. In addition the one year forward rate being quoted in the market is \$.82. As a step to build the economy, the country is also allowing foreign investors to make investments. Various incentives are being offered by the country to attract foreign funds. The rate of interest on one year government securities is presently 16%. This is substantially higher than the 10% rate which is presently offered on one year US government securities.

Apex Corp. has asked you, as an employee in their international money market division, to assess the feasibility of making a short term investment in this country. The amount available for making the investment for the next year is \$12 million.

The Apex Corp. has also come to know that the exchange rate in this country will be market determined for the next few years. Financial managers in Apex Corp. are hence apprehensive about the high volatility of the currency till equilibrium is reached. It is expected that the value of currency in one year will be approx. \$.85. However, there is a high degree of uncertainty attached with this value and predictions are being made that the actual value may be 30% above or below this expected value.

- (a) Would you be willing to invest funds in this country without covering your position? If yes, then answer why?
- (b) Would covered interest arbitrage be worth considering? Show your calculations.
- (c) Are there any risks involved in using interest arbitrage? Elucidate 10
3. What is the structure of Indian Money Market? Discuss the recent developments in the Indian Money Market. 10

4. Write short notes on :

5×2

(a) NSDL

(b) STCI

5. Explain the important functions of SEBI to regulate the Indian Stock Market. 10

6. (a) What does Equity Share represent ? Explain the major features of Equity shares. 5

(b) Why Preference Shares are called "hybrid instruments" ? Explain the major features of preference shares. 5

7. Distinguish between :

5×2

(a) Forward contract and Futures contract

(b) Warrants and Convertibles

8. (a) Why State Finance Corporations (SFCs) were setup ? What are the different forms through which financial assistance is rendered by SFCs ? 5

(b) When and Why SIDBI was established ? Discuss the major objectives and thrust areas of SIDBI. 5

FMS-4-8